

MAYUR MEHTA

B.Com. (Hons.), A.C.S., A.C.A., M.A. (Hist.)
PRACTISING COMPANY SECRETARY

RECONCILIATION OF SHARE CAPITAL

AUDIT CERTIFICATE

I have examined the Register of Members, Beneficiary details furnished by the Depositories and other records/documents maintained by INDUSTRIAL AND PRUDENTIAL INVESTMENT COMPANY LIMITED (hereinafter referred to as "the Company"), Kolkata, (CIN L65990WB1913PLC218486) and its Registrars and Share Transfer Agents, LINK INTIME INDIA PRIVATE LIMITED, Mumbai, for issuing Secretarial Audit Certificate as per Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended 31st March, 2020.

According to the best of my knowledge and according to the information and explanations given to me and the reports received from the Share Transfer Agents, I hereby certify that the information stated in the attached Share Capital Audit Certificate for the quarter ended 31st March, 2020 is examined and found to be correct.

It is the responsibility of the Management to upload the certificate in XBRL form on the website of BSE Ltd. in accordance with the Listing (Obligations and Disclosure Requirements) Regulations, 2015.

Mayur Mehta
Practicing Company Secretary
Membership No. A 3132
C.P. Number 9952

Place: Mumbai
Date: 16th April, 2020
UDIN A003132B000159851

Office: 901, Rushabh, Jakaria Bunder Cross Road, Sewree (West) Mumbai 400015
Res: 401, 90, Parijat, Dr. M. B. Raut Road (South), Shivaji Park, Mumbai 400015
Tel. Office 24163356/24135466 Cell: 9821735704 Tel Residence 24454177
Email: mayur.cs@mtnl.net.in Alternative Email: mayur2753@gmail.com

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SHARE CAPITAL AUDIT CERTIFICATE

1. For Quarter Ended 31st March, 2020
2. ISIN INE620D01011
3. Face Value Rs.10/-
4. Name of the Company INDUSTRIAL AND PRUDENTIAL INVESTMENT COMPANY LIMITED
5. Registered Office Address Paharpur House, 8/1/B
Diamond Harbour Road,
Kolkata 700 027
6. Correspondence Address Paharpur House, 8/1/B
Diamond Harbour Road,
Kolkata 700 027
7. Telephone & Fax Nos. Tel No 03340133000
Fax No 03340133499
8. Email Address contact@industrialprudential.com
9. Name of the Stock Exchanges where the Company's Securities are listed BSE Limited (BSE)

	No. of Equity Shares	% of Total Issued Equity Capital
10. Issued Capital	17,45,340	100%
11. Listed Capital (Exchange wise) (as per Company records)	BSE Ltd. Mumbai 17,45,340	100%
12. Held in Dematerialised form in CDSL	1,39,258	7.98
13. Held in Dematerialised form in NSDL	14,87,147	85.21
14. Physical	1,18,935	6.81
15. Total of No. of Shares (12 + 13 + 14)	17,45,340	100.00
16. Reasons for difference if any, between (10 & 11), (10& 15), (11 & 15)	N.A.	

17. Certifying the details of changes in share capital during the quarter under consideration as per table below:

Particulars***	No. of Shares	Applied/Not Applied for Listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. Approval Pending for SE
NIL						

*** Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, conversion, Buyback, Capital Reduction, Forfeiture, any other (to specify)

18. Register of Members is updated (Yes/No) **Yes**
19. Reference of previous quarter with regard to excess dematerialised Share, if any: **NIL**

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20. Has the Company resolved the matter mentioned in point no. 19?

Not Applicable

21. Mention the Total No. of requests, if any, confirmed after 21 days and the total No. of requests pending beyond 21 days with the reasons for delay:

Total No. of Demat requests	No. of Requests	No. of Shares	Reasons for delay (As informed by Company)
Confirmed after 21 days	0	0	NA
Pending for more than 21 days	0	0	NA

(a) Letters sent to registered shareholder towards confirmation of signature and dematerialization of shares. - **NIL**

(b) Late receipt of physical documents from DP. - -

22. Name, Telephone & Fax No. of the Compliance Officer of the Company

Mr. Ayan Datta
Compliance Officer
Tel. 03340133173
Fax No. 03340133499
Membership No A 43557

23. Name, Address, Tel. & Fax No., Regn. No. of the Practicing Company Secretary

Mayur Amritlal Mehta
Company Secretary
901, Rushabh,
Jakaria Bunder Cross Road
Sewree (West) Mumbai 400015
Tel. No. 24135466

24. Appointment of common agency for share registry work, if yes, Name and Address

Link Intime India Pvt. Limited,
C-101, 247 Park Compound,
L.B.S. Marg,
Vikhroli (W) Mumbai 400083
Tel. 022 - 49186000

25. Any other detail that the auditor may like to provide (e.g. BIFR Company, delisting from SE.)

NIL

Mayur Mehta
Practicing Company Secretary
Membership No. A 3132
C.P. Number 9952

Place: Mumbai

Date: 16th April, 2020

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